

Form 3C

Company details for a category 1 (hotel/tavern) gaming machine licence

Gaming Machine Act 1991 s. 56 • V4 April 2020



Queensland
Government

Office use only

Org no.

App no.

Lic no.

Site no.

Instructions

This form must accompany **Form 3 Application for a gaming machine licence**. Please complete in BLOCK letters. Attach extra pages if needed. If you need help completing this form contact the Office of Liquor and Gaming Regulation (OLGR) on 13 QGOV (13 74 68).

Note: applicants should be aware that the Commissioner for Liquor and Gaming has the legislative ability to require additional information from an applicant to enable the Commissioner to make a fully informed decision.

Warning

Failure to honestly and truthfully complete this form will delay the process of the application, may impact on the outcome of the application and may constitute an offence under section 350 of the *Gaming Machine Act 1991*. Maximum penalty for providing false or misleading information - 400 penalty units or two years imprisonment.

Fees

To find out the current application fee go to www.business.qld.gov.au/liquor-gaming

Part A—Required documents to be attached to application form at lodgement

Section 1

Document list

- ☐ Copy of a historical company search, obtained within 14 days of the application being lodged. This document must provide details of all current directors, secretary and shareholders. Where the company has been registered for less than 12 months, documentary proof of the current shareholders must be provided e.g. copy of the share register or share certificates
- ☐ **Form 5 Personal details schedule/personal probity form** must be completed by each director, secretary and shareholder of the company
- ☐ Where the company is acting on behalf of a trust provide:
 - ☐ a copy of the executed trust deed and all amendments/variations
 - ☐ **Form 5 Personal details schedule/personal probity form** completed for all beneficiaries over the age of 18 years
- ☐ If the shareholder of the applicant company or the beneficiary of an applicant trust is another company or a company/person acting on behalf of another trust, provide:
 - ☐ ASIC Extract for the company obtained within 14 days of this form being lodged
 - ☐ a copy of the executed trust deed and all amendments or variations
 - ☐ **Form 5 Personal details schedule/personal probity form** completed for all beneficiaries over the age of 18 years
- ☐ A diagram of the company ownership structure showing all entities involved, including trusts - refer to Section 4 of this form
- ☐ If you answer 'yes' to any of the questions in **Section 7** of this application, a written description of the circumstances must be attached.

Part B—All applicants complete every section

Section 2

Company details

Company name

ACN ABN

Registered address

Locality/suburb State ☐☐☐ Postcode ☐☐☐☐

Premises email

| Section 3 Secretary and director details | Please provide full details of the secretary and all directors | | | | |
|---|--|------------|---------------|---------------|------------------|
| | Surname | Given name | Position held | Date of birth | Appointment date |
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| Section 4 Corporate structure | <p>Attach a diagram of the company ownership structure showing all entities involved. All entities involved may include holding companies and all associated and other related companies and trusts. From the diagram, the following should be clearly identifiable:</p> <ul style="list-style-type: none"> • The full name of each company • The ACN (or overseas equivalent) of each company • The percentage holding that each company in the structure has in each of its subsidiaries • The full name of every trust • The type of trust (e.g. unit trust) • The full name and date of birth for every beneficiary of every trust in the structure. |
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| Section 5 Previous company names | <p>Provide full details of any other names that the company uses or has used in the last five years</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p> |
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| Section 6 Trust details | <p>If the company is acting on behalf of a trust, enter the full name of the trust. An executed copy of the trust deed and any amendments and a Form 5 Personal details schedule/personal probity form for each beneficiary over the age of 18 years must be provided with this application..</p> <p>.....</p> <p>.....</p> |
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| Section 7 Trading history If you answer 'Yes' to any of these questions you must attach full details of the circumstances. | <div> Has the company ever been a party in a civil lawsuit? <input type="checkbox"/> Yes <input type="checkbox"/> No </div> <div> Has the company ever had a judgement returned against it? <input type="checkbox"/> Yes <input type="checkbox"/> No </div> <div> Has the company ever had an item repossessed? <input type="checkbox"/> Yes <input type="checkbox"/> No </div> <div> Has the company ever had a controller appointed or taken advantage of the laws relating to insolvency? <input type="checkbox"/> Yes <input type="checkbox"/> No </div> <div> Has the company ever made an 'out of court' settlement? <input type="checkbox"/> Yes <input type="checkbox"/> No </div> |
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Section 8

Authority for release of information

Note: an authorised officer of the company is a director/secretary as listed with the Australian Security and Investment Commission (ASIC). Gaming nominees or other employees are not acceptable.

If the company is administered by a sole director then only one signature is required.

I/We and
(insert full name of executive officers)

hold the position/s of and
respectively in the body corporate identified in section 2 of this form and I am/we are duly authorised to complete this form on behalf of that body corporate.

I/We hereby authorise:

1. Any person conducting any investigations or enquiries on behalf of the responsible Minister and/or the Commissioner for Liquor and Gaming under the *Liquor Act 1992* or the *Gaming Machine Act 1991 (Acts)*, including any OLGR officer (Authorised Person), to obtain and hold any information and make any investigations or enquiries with state, federal or overseas police authorities or any other relevant agency which relate to the body corporate identified in section 2 of this form for the purpose of satisfying the requirements of the Acts.
2. Any officer of any police service, law enforcement agency or regulatory body in any jurisdiction, to release to any Authorised Person any information or official record of any kind in written, electronic or any other form which relates to the body corporate identified in section 2 of this form and is held by the police service, agency or body.
3. The body corporate identified in section 2 of this form will at all times sufficiently indemnify those officers referred to in 1 and 2 above and the Authorised Person and keep such officers and the Authorised Person indemnified against all liability in respect of the supply or disclosure in accordance with the Act or as otherwise required by law, of the information, and against all actions, suits, proceedings, claims, demands, costs and expenses whatsoever which may be taken against such officers and the Authorised Person in respect thereof.

Signature..... / /

Signature..... / /

Section 9

Applicant declaration

Sole directors should also sign here.

I/We and
(insert full name of executive officers)

certify that:

- I/We hold the position/s of and
respectively in the body corporate identified in section 2 of this form and I am/we are duly authorised to complete this form on behalf of that body corporate.
- that the particulars contained herein and all matters accompanying this form are true and correct in every detail.

Executed under the common seal of
(insert full name of company if applicable)

Incorporation no./ACN

Signature of authorised executive officer.....

Date / /

Signature of authorised executive officer.....

Date / /