

# Queensland supplemental abridged personal probity form—Confidential



Queensland  
Government

*Casino Control Act 1982 ss. 20, 30; Charitable and Non-Profit Gaming Act 1999 s. 48; Gaming Machine Act 1991 ss. 57, 112, 113, 120, 122, 200, 201; Interactive Gambling (Player Protection) Act 1998 ss. 35, 36; Keno Act 1996 s. 18; Liquor Act 1992 s. 107E; Lotteries Act 1997 ss. 14, 15; Wagering Act 1998 ss. 13, 14 • V1 April 2020*

## Office use only

Org no. ....

Lic no. ....

## Instructions

This form is to be completed if the Multi-Jurisdictional Personal History Disclosure Form (MJPHD) is used. The MJPHD is available at [www.iagr.org/membership/multi-jurisdictional-form](http://www.iagr.org/membership/multi-jurisdictional-form).

Please complete in BLOCK letters. If you need help completing this form contact the Office of Liquor and Gaming Regulation (OLGR) on 13 QGOV (13 74 68).

**Note:** this form is to be fully completed and all required documents attached. Incomplete forms will be returned.

## Warning

Applicants and other persons completing this form should be aware that action may be taken should information be supplied that is false, misleading or incomplete in a material particular. Persons who submit false or misleading information may be prosecuted and fined. Where a licence is issued and it is subsequently found that full disclosures had not been made in relation to the licensee or its associates or that false or misleading information had been provided, show cause action may be taken. That action would determine whether the licence should be cancelled or suspended.

## Part A—Directions for completion

1. If a question does not apply, write 'N/A'.
2. If there is nothing to disclose in reply to a particular question write 'nil'.
3. If there is insufficient space to answer a question, attach a page with your name (in BLOCK capitals) and relevant section number above the additional written information.
4. Each page of this form and any additional attachment pages should be signed by the person completing the form.
5. This form is to be completed in the English language. Any required documents not in English are to have a certified English translation appended.
6. Documents or other information sought from authorities in Australia may not be applicable to an individual currently or previously residing in another country. In such cases the documents or information should be obtained from the equivalent authority of that country.
7. The photograph to be attached to the certificate should be:
  - no more than 6 months old
  - display a full view of the head and shoulders without any head covering or tinted glasses (if you normally wear glasses you should do so in the photograph)
  - approximately 35mm wide x 45mm high (passport size) against a light background
  - in colour.
8. **Note:** a reference to a person includes a reference to a corporation.
9. Persons completing this form may be interviewed by officers of OLGR on one or more occasions. If you are to be interviewed, the place and form of the interview will be at the discretion of OLGR. All persons to be interviewed are required to bear their own cost of travel and accommodation, if applicable.
10. Persons completing this form should be aware that suitability investigations will not commence until required information is provided. In addition, suitability investigations may be suspended where additional information sought is not provided in a timely manner.

## Section 1

### Person to which this form relates

Name of person .....

This form has been submitted for the purpose of .....  
(e.g. Director appointment)

Gaming applicant/licensee name .....

.....

Signature .....

## Part B—Personal information provided with attachments

### Section 2

#### Attachments which must accompany form

- ☐ Copy of each of the following as proof of identity:
  - full birth certificate
  - current driver's licence (if applicable)
  - change of name document (if applicable), for example, marriage certificate or change of name deed poll certificate.
- ☐ Copies of the identification section of all current passports.
- ☐ Copy of certificate of Australian citizenship/naturalisation (if applicable).
- ☐ Copy of your last individual tax return and assessment notice.
- ☐ For all formal qualifications, please supply a copy of your certificates of qualification.
- ☐ Copy of armed forces discharge papers (if applicable).
- ☐ Copies of certificates of membership or other evidence (e.g. receipt from payment of fees) of membership of any professional bodies.
- ☐ For overseas residents only, a police report or clearance from the appropriate authority in the jurisdiction in which you currently reside. If not in English, a certified transcript is required (Police reports/clearances older than 6 months when received by OLGR will not be accepted).
- ☐ Copies of financial statements for the last 2 financial years of any trust of which you are a named beneficiary or trustee.
- ☐ Copies of financial statements for the last 2 financial years of any company which you hold a 50% or more share (directly or indirectly).
- ☐ Summary of work history and business history.

- a. Detail all directorships (including past and present). This should be set out in tabular form using the following headings:

| Company name | Company location | Director appointment date | Director cessation date (where applicable) | Company principal activity |
|--------------|------------------|---------------------------|--|----------------------------|
|--------------|------------------|---------------------------|--|----------------------------|

To assist in ensuring that all Australian companies for which you have been a director are disclosed, it is requested that you obtain a historical directorship listing from an agent of the Australian Securities and Investments Commission (ASIC) to aid in the completion of this question.

The ASIC search should not be automatically considered as being a comprehensive list of your directorships (past and present). You must ensure that any Australian or overseas corporation with which you have been a director is listed in your response, regardless of whether it features in the ASIC listing.

- b. Detail all businesses conducted by you either solely, in partnership or through some other means not detailed in 'a' above (including past and present). In relation to partnerships, please provide the names of the other partners. This should be set out in tabular form using the following headings:

| Entity name | Entity location | Commencement date | Cessation date | Entity principal activity |
|-------------|-----------------|-------------------|----------------|---------------------------|
|-------------|-----------------|-------------------|----------------|---------------------------|

- c. Detail all employment over the last 10 years that has not already been detailed in 'a' or 'b'. This should be set out in tabular form using the following headings:

| Employer name | Employer address | Position title | Commencement date | Cessation date | Duties description |
|---------------|------------------|----------------|-------------------|----------------|--------------------|
|---------------|------------------|----------------|-------------------|----------------|--------------------|

- ☐ Three written references, at least one of which must be a reference in relation to your business reputation and one which must refer to your general character. At a minimum, these references should include:
  - a statement in relation to your character and/or business reputation
  - a confirmation of years known
  - how you are known to the referee
  - a statement that the referee is agreeable to be contacted to further discuss these matters, if it is deemed necessary to do so
  - full name and contact details of the referee.

**Note:** if you are unable to provide any of these attachments, please provide a written explanation in this regard.

Signature .....

Part C—Personal information provided by written answers

**Section 3**  
**Personal details**

Preferred title ☐ Mr ☐ Mrs ☐ Ms ☐ Miss ☐ Other .....  
(specify)

Surname .....

Given names .....

Please state any aliases, legal or otherwise, which you have used or may have been known by including the reason and date of change (i.e. maiden/previous married name/nickname)

.....

.....

Residential address .....

Suburb ..... State ☐☐☐ Postcode ☐☐☐☐

Country .....

Home phone ..... Mobile .....

Email .....

Date of birth ☐☐☐ / ☐☐☐ / ☐☐☐☐☐☐ Sex: ☐ Male ☐ Female

Place of birth .....  
city state country

**Section 4**  
**Marital details**

Are you married or in a de facto relationship?

☐ No—go to **Section 5**

☐ Yes—spouse/de facto full name .....

Spouse/de facto maiden name .....

Spouse/de facto date of birth ☐☐☐ / ☐☐☐ / ☐☐☐☐☐☐

Spouse/de facto place of birth .....

Spouse/de facto address .....

.....

Spouse/de facto employer .....

Spouse/de facto occupation .....

List of current names (including maiden name) and current addresses of previous spouses/de factos. If deceased you are respectfully requested to indicate accordingly.

Current name .....  
(in full)

Maiden name .....

Current address .....

Suburb ..... State ☐☐☐ Postcode ☐☐☐☐

Country .....

Date of birth ☐☐☐ / ☐☐☐ / ☐☐☐☐☐☐

Place of birth .....  
city state country

Signature .....

**Section 5**

**Influences/conflict  
or interest/  
disqualified  
persons**

OLGR must be advised of any matter which could be seen as having an undue influence on the outcome of a suitability investigation or an application for a licence. Disclosures must also be made of persons with a potential conflict of interest.

Are you a spouse or child of a:

- Member of the Legislative Assembly (Queensland)
- staff member of a Member of the Legislative Assembly (Queensland)
- councillor of a local government in Queensland?

☐ No

☐ Yes—provide details .....

.....

Have you or any associates, or employees or representatives been involved in any lobbying, meetings, discussions or negotiations with any government official in regard to this suitability investigation or, if applicable, this or any other application for a gaming licence?

☐ No—go to **Section 6**

☐ Yes—provide details of the official's name, persons name acting on behalf of the company and the date and nature of any lobbying, meetings, discussion or negotiations held .....

.....

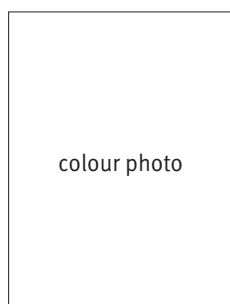
Signature .....

**Section 6**  
**Signed certificate**

I, .....  
(name of person)

hereby declare that I:

- am the person identified in this document
- have personally completed this form or have supplied all the information indicated herein and have confirmed its accuracy in the completed form
- certify that the particulars contained herein and all matters accompanying this form are true and correct in every detail and fully disclose the information required to complete this form
- am the person in the photograph attached below (which is less than 6 months old)
- agree if requested and obliged by legislation to have my fingerprints taken and checked for the purpose of suitability
- agree, if requested, to provide income tax returns or income tax assessments (further to those already requested in this form)
- agree, if requested, to provide further documentation as evidence of the accuracy of responses given in this form.



Date photograph taken  /  /   
D D M M Y Y Y Y

Photograph attached and this certificate signed at .....

Date  /  /   
D D M M Y Y Y Y

Signature .....

Witness name .....

Witness address .....

I confirm that I have witnessed the signing of the above certificate and that the above attached photograph is a photograph of:

Name of person .....

Address of person.....

Date  /  /   
D D M M Y Y Y Y

Witness signature .....

Signature .....

**Section 7**  
**Authority for**  
**release of**  
**information**

I, .....  
(name of person)

of .....  
(residential address)

grant an authority on the following terms:

1. I acknowledge that the *Casino Control Act 1982, Gaming Machine Act 1991, Interactive Gambling (Player Protection) Act 1998, Keno Act 1996, Liquor Act 1992; Lotteries Act 1997 and/or Wagering Act 1998 (the Acts)* authorise the Minister responsible for these Acts and/or the Commissioner for Liquor and Gaming (Commissioner) or the Chief Executive to cause to be made investigations which relate to me for the purposes of determining my suitability for the purposes of the Acts.
2. I authorise any person conducting any investigations or enquiries on behalf of the responsible minister and/or Commissioner or Chief Executive, for the purposes of the Acts, including any OLGR officer (*Authorised Person*), to obtain any information and make any investigations or enquiries which relate to me and may be relevant to any of the purposes of the Acts in any jurisdiction.
3. I authorise an officer of the Australian Taxation Office (ATO) or the manager or other principal officer of any branch or office of a bank or financial institution in any jurisdiction to whom a copy of this authority is presented to allow any Authorised Person to inspect and obtain copies of, or to release to any Authorised Person, any record, document or other information of any kind in written, electronic or any other form, which relates to me and is held by the ATO, bank or financial institution.
4. I authorise any officer of any police service, law enforcement agency or regulatory body in any jurisdiction to whom a copy of this authority is presented to release to any Authorised Person any information or official record of any kind in written, electronic or any other form which relates to me and is held by the police service, agency or body, including any information relating to my personal and criminal history.
5. I will at all times sufficiently indemnify those officers referred to in 3 and 4 above and the Authorised Person and keep such officers and the Authorised Person indemnified against all liability in respect of the supply or disclosure in accordance with the Acts or as otherwise required by law, of the information, and against all actions, suits, proceedings, claims, demands, costs and expenses whatsoever which may be taken against such officers and the Authorised Person or incurred or become payable by such officers or the Authorised Person in respect thereof.

Signature.....

Date  /  /   
D D M M Y Y Y Y

Witness signature.....

Witness name.....

Witness address .....

Suburb ..... State  Postcode

Signature .....

|   |   |
|---|---|
| <p><b>Section 8</b></p> <p><b>Checklist</b></p> <p>The following documents must be provided</p> | <p>Complete the following checklist. If the checklist is incomplete you must advise when the outstanding matters will be submitted and expect delays in the processing of this form.</p> <p>I hereby certify I have:</p> <p><input type="checkbox"/> read the directions for completion (<b>Part A</b>)</p> <p><input type="checkbox"/> signed each page of the form</p> <p><input type="checkbox"/> answered all questions in writing</p> <p><input type="checkbox"/> answered all questions completely</p> <p><input type="checkbox"/> completed and signed <b>Sections 6–7</b> of this form.</p> <p>I have attached the following:</p> <p><input type="checkbox"/> proof of identity</p> <p><input type="checkbox"/> copies current passports</p> <p><input type="checkbox"/> copy of certificate of citizenship/naturalisation (if applicable)</p> <p><input type="checkbox"/> copy of last tax return and assessment notice</p> <p><input type="checkbox"/> copies of tertiary qualifications (if applicable)</p> <p><input type="checkbox"/> copy of armed forces discharge papers (if applicable)</p> <p><input type="checkbox"/> copy of evidence of membership of any professional body</p> <p><input type="checkbox"/> police report or clearance (if applicable)</p> <p><input type="checkbox"/> summary of work and business history</p> <p><input type="checkbox"/> three references</p> <p><input type="checkbox"/> copies of trust financial statements (if applicable).</p> <p>Name of person .....</p> <p>Signature of person.....</p> |
| <p><b>Section 9</b></p> <p><b>Lodgement of form and supporting material</b></p>                 | <p>Seal this form and attachments in an envelope marked:</p> <p><b>Private and confidential</b></p> <p>Attn: Executive Director</p> <p>Office of Liquor and Gaming Regulation</p> <p><b>By email:</b> OLGRProbit@justice.qld.gov.au</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><b>By mail:</b></p> <p>Office of Liquor and Gaming Regulation</p> <p>Locked Bag 180</p> <p>CITY EAST QLD 4002</p> </div> <div style="width: 45%;"> <p><b>In person:</b></p> <p>Office of Liquor and Gaming Regulation</p> <p>Upper Plaza, 33 Charlotte Street</p> <p>BRISBANE QLD 4000</p> </div> </div>  |
| <p>Signature .....</p>  |   |