

Personal probity form—Confidential Applicant for approved ID scanning systems

Liquor Act 1992 s. 173EQ • V1 Oct 2014



Queensland
Government

To be supplied in conjunction with **Form 52** Application for an approved operators approval

Office use only

Org no.

Lic no.

Instructions

Please complete in BLOCK letters. If you need help completing this form contact the Office of Liquor and Gaming Regulation (OLGR) on 13 QGOV (13 74 68).

Note: this form is to be fully completed and all required documents attached. Incomplete forms will be returned.

Warning

Applicants and other persons completing this form should be aware that action may be taken should information be supplied that is false, misleading or incomplete in a material particular. Persons who submit false or misleading information may be prosecuted and fined. Where a licence is issued and it is subsequently found that full disclosures had not been made in relation to the licensee or its associates or that false or misleading information had been provided, show cause action may be taken. That action would determine whether the licence should be cancelled or suspended.

Part A—Directions for completion

1. If a question does not apply, write 'N/A'.
2. If there is nothing to disclose in reply to a particular question write 'nil'.
3. If there is insufficient space to answer a question, attach a page with your name (in BLOCK capitals) and relevant section number above the additional written information.
4. Each page of this form and any additional attachment pages should be signed by the person completing the form.
5. This form is to be completed in the English language. Any required documents not in English are to have a certified English translation appended.
6. Documents or other information sought from authorities in Australia may not be applicable to an individual currently or previously residing in another country. In such cases the documents or information should be obtained from the equivalent authority of that country.
7. The photograph to be attached to the certificate should be:
 - no more than six months old
 - display a full view of the head and shoulders without any head covering or tinted glasses (if you normally wear glasses you should do so in the photograph)
 - approximately 35mm wide x 45mm high (passport size) against a light background
 - in colour.
8. **Note:** a reference to a person includes a reference to a corporation.
9. Persons completing this form may be interviewed by officers of OLGR on one or more occasions. If you are to be interviewed, the place and form of the interview will be at the discretion of OLGR. All persons to be interviewed are required to bear their own cost of travel and accommodation, if applicable.
10. Persons completing this form should be aware that probity investigations will not commence until required information is provided. In addition, probity investigation may be suspended where additional information sought is not provided in a timely manner.

Section 1

Person to which this form relates

Name of person

Applicant name

Signature (authorised officer).....

Part B—Personal information provided with attachments

Section 2

Attachments which must accompany form

- Copy of each of the following as proof of identity:
 - full birth certificate
 - current driver’s licence (if applicable)
 - all marriage certificates (only required for females)
 - where applicable, any change of name deed poll certificate.
- Copies of the identification section of all current passports (If you have never held a passport you should state so at **Section 8**).
- Copy of certificate of Australian citizenship/naturalisation (if applicable).
- Copy of your last individual tax return and assessment notice.
- For all formal qualifications, please supply a copy of your certificates of qualification.
- Copy of armed forces discharge papers (if applicable).
- Copies of certificates of membership or other evidence (e.g. receipt from payment of fees) of membership of any professional bodies.
- For overseas residents only, a police report or clearance from the appropriate authority in the jurisdiction in which you currently reside. If not in English, a certified transcript is required (Police reports/clearances older than six months when received by OLGR will not be accepted).
- Copies of financial statements for the last two financial years of any trust of which you are a named beneficiary or trustee.
- Summary of work history and business history.

a. Detail all directorships (including past and present). This should be set out in tabular form using the following headings:

| Company name | Company location | Director appointment date | Director cessation date (where applicable) | Company principal activity |
|--------------|------------------|---------------------------|--|----------------------------|
|--------------|------------------|---------------------------|--|----------------------------|

To assist in ensuring that all Australian companies for which you have been a director are disclosed, it is requested that you obtain a historical directorship listing from an agent of the Australian Securities and Investments Commission (ASIC) to aid in the completion of this question.

The ASIC search should not be automatically considered as being a comprehensive list of your directorships (past and present). You must ensure that any Australian or overseas corporation with which you have been a director is listed in your response, regardless of whether it features in the ASIC listing.

b. Detail all businesses conducted by you either solely, in partnership or through some other means not detailed in ‘a’ above (including past and present). In relation to partnerships, please provide the names of the other partners. This should be set out in tabular form using the following headings:

| Entity name | Entity location | Commencement date | Cessation date | Entity principal activity |
|-------------|-----------------|-------------------|----------------|---------------------------|
|-------------|-----------------|-------------------|----------------|---------------------------|

c. Detail all employment over the last 10 years that has not already been detailed in ‘a’ or ‘b’. This should be set out in tabular form using the following headings:

| Employer name | Employer address | Position title | Commencement date | Cessation date | Duties description |
|---------------|------------------|----------------|-------------------|----------------|--------------------|
|---------------|------------------|----------------|-------------------|----------------|--------------------|

- Three written references, at least one of which must be a reference in relation to your business reputation and one which must refer to your general character. At a minimum, these references should include:
 - a statement in relation to your character and/or business reputation
 - a confirmation of years known
 - how you are known to the referee
 - a statement that the referee is agreeable to be contacted to further discuss these matters, if it is deemed necessary to do so
 - full name and contact details of the referee.

Note: if you are unable to provide any of these attachments, please provide a written explanation in this regard.

Signature (authorised officer).....

Part C—Personal information provided by written answers

**Section 3
Personal details**

Preferred title Mr Mrs Ms Miss Other
(specify)

Surname

Given names

Please state any aliases, legal or otherwise, which you have used or may have been known by including the reason and date of change (i.e. maiden/previous married name/nickname)

Residential address

Suburb State Postcode

Country

Home phone Mobile

Email

Date of birth / / Sex Male Female

Place of birth
city state country

**Section 4
Physical description**

Heightcm Colour of eyes Complexion

Weightkg Colour of hair

Scars/tattoos/distinguishing marks

**Section 5
Business details**

Business address

Suburb State Postcode

Country

Business phone Mobile

Email

Period business operated

Period business operated at present address

Position title

**Section 6
Citizen and licence details**

Are you an Australian citizen? Yes No

If a naturalised citizen of Australia, state date, place of naturalisation and certificate no.

If a citizen of another country, state that country

Are you enrolled on an electoral roll in Australia or elsewhere?

Yes—state the name and address under which enrolled

No—give reasons why not enrolled

continued over page

continued over page

Signature (authorised officer).....

Section 6 cont/...
Citizen and licence details

Are you the holder of a current driver's licence?
 Yes—state date, place of issue and licence no.

 No—go to **Section 7**

Section 7
Education/ qualifications

Highest level of primary/secondary education attained
 Last attended primary/secondary educational institution
 Final year you attended above institution _Y_Y_Y_Y
 List all tertiary qualifications below:

| Qualifications | Institution | Date obtained |
|----------------|-------------|---------------|
| | | |
| | | |
| | | |
| | | |

Section 8
Passport and travel information

Have you ever held a passport from any country?
 No
 Yes—provide information for each passport:

| Passport no. | Country | Issue place | Issue date | Expiry date |
|--------------|---------|-------------|------------|-------------|
| | | | | |
| | | | | |

Have you ever been refused entry or expelled/deported from any country?
 No
 Yes—provide details

Have you travelled outside your country of residence during the past ten years?
 No—go to **Section 9**
 Yes—provide details below in relation to all travel associated with the gaming industry conducted over the last 10 years:

| Country visited | Arrival date | Departure date | Reasons for business travel |
|-----------------|--------------|----------------|-----------------------------|
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

Signature (authorised officer).....

| Section 9 Residences | List all addresses at which you have been permanently resident over the last ten years beginning with your current address and working backwards. | | | | | |
|---------------------------------------|---|----|--------------|-------------|-------|---------|
| | Month & year | | Street & no. | Suburb/city | State | Country |
| | From | To | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

Section 10
Arrests/detentions/litigation

Disclosure of a conviction/charge under Queensland law is not limited by any time frame. The *Criminal Law (Rehabilitation of Offenders) Act 1986* of Queensland does not apply as Acts administered by OLGR are exempt.

Matters arising under Federal law/ non-Queensland jurisdictions laws may entitle you to rights of non-disclosure. Seek your own legal advice if you have concerns. If you do seek entitlement to non-disclosure, supply an attachment stating the reasons why and copies of the relevant legislation.

Law enforcement agency includes but is not limited to State/Federal/ Overseas Agencies such as Police Services, Crime Authorities, Crime Commissions, Commissions against Corruption, Securities and Investments Commissions, Customs Services, Taxation Offices, Competition and Consumer Commissions and Gaming Authorities.

In Queensland or elsewhere, have you ever been (attach full details for any question answered with **Yes**)

- convicted of an offence Yes No
- investigated (to the best of your knowledge) by a law enforcement agency Yes No
- arrested by a law enforcement agency Yes No
- detained by a law enforcement agency Yes No
- charged by a law enforcement agency Yes No
- summoned before a court to answer any offence for any reason whatsoever regardless of the outcome of the action Yes No
- had any charge proved against you without a conviction being recorded Yes No
- cautioned by the police Yes No
- the subject of a violence order Yes No
- the subject of a cancelled or suspended driver's licence? Yes No

Have you ever been a party in a civil lawsuit, either personally or as a company officer, or are you aware of any such action that may be pending?

No

Yes—provide details

.....

.....

.....

Have you ever had a judgement returned against you?

No

Yes—provide details

.....

.....

Have you been the subject of debt recovery action?

No

Yes—provide details

.....

.....

During any employment (including directorships) have you been the subject of any allegation which resulted in a financial penalty/settlement (in/out of court) or remedial action such as the loss of employment/other disciplinary action. Include all matters, whether subject to court proceedings or not:

No—go to **Section 11**

Yes—provide details

.....

Signature (authorised officer).....

Section 11
Gaming involvement

Are you or have you been associated with the ownership, administration or management of, or held any financial interest in:

- a casino
- Keno or lottery operations
- interactive gambling operation
- race wagering or sports wagering operations (including bookmaking)
- club, hotel or tavern
- the manufacture, assembling, selling, distributing, importing supplying, repairing or operation of gaming machines, in-line machines, lucky envelope machines or other amusement devices?

No

Yes—provide details

.....

.....

Have you ever previously been subject to a probity investigation by or on behalf of any law enforcement authority or government regulator?

No

Yes—provide details including name of the investigating authority, purpose of the probity investigation, the year of the investigation and the result.....

.....

.....

Have you ever been dismissed, discharged or asked to resign from any employment? This includes any position as a director or secretary of a company.

No—go to **Section 12**

Yes—provide details below:

| Date | Employer name & address | Supervisor's name | Dismissal/discharge/resignation reasons |
|------|-------------------------|-------------------|---|
| | | | |
| | | | |
| | | | |

Section 12
**Licences/
certificates/
memberships**

List all gaming approvals, licences or authorities obtained, whether issued in this State or elsewhere. Include any licence or certificate which has been cancelled, suspended or had conditions attached. Explain the reason for any cancellation or suspension and the nature of any attached condition on an attachment page.

| Issue date | Place issued | Licence type | Licence no. (if applicable) | Status |
|------------|--------------|--------------|-----------------------------|--------|
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Have you ever been refused a licence, authority or approval or otherwise found unsuitable by any gaming authority?

No

Yes—provide details

.....

.....

continued over page

continued over page

Signature (authorised officer).....

| | |
|---|---|
| <p>Section 12 cont/... Licences/ certificates/ memberships</p> | <p>Do you belong to any professional body, association or institute?</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes—provide details</p> <p>.....</p> <p>.....</p> <p>Have you ever been investigated or disciplined by a professional body, association or institute?</p> <p><input type="checkbox"/> No—go to Section 13</p> <p><input type="checkbox"/> Yes—provide details</p> <p>.....</p> <p>.....</p> |
| <p>Section 13 Bankruptcy</p> | <p>Have you ever been bankrupt or subject to an arrangement under the <i>Bankruptcy Act</i> (i.e. made some arrangement with your creditors) or an overseas equivalent?</p> <p><input type="checkbox"/> No—go to Section 14</p> <p><input type="checkbox"/> Yes—date of bankruptcy/arrangement <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/></p> <p style="text-align: center; font-size: small;">D D M M Y Y Y Y</p> <p>Date of discharge/completion <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/></p> <p style="text-align: center; font-size: small;">D D M M Y Y Y Y</p> <p>Trustee name</p> <p>Trustee address</p> <p>Suburb State <input type="text"/> <input type="text"/> <input type="text"/> Postcode <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/></p> <p>Country Phone</p> <p>Provide details of the circumstances leading to bankruptcy/arrangement proceedings (including details of any pending arrangements)</p> <p>.....</p> <p>.....</p> |
| <p>Section 14 Past company director/officer</p> | <p>Have you ever been a director/officer of a company, that while you were a director/officer or in the 12 months subsequent to your ceasing to be a director/officer:</p> <ul style="list-style-type: none"> • been placed into liquidation/receivership/scheme of arrangement/under other formal insolvency administration • had an agent for the mortgagee appointed • had an investigation into the affairs of the company authorised under the ASIC, its predecessors or equivalent overseas body? <p><input type="checkbox"/> No—go to Section 15</p> <p><input type="checkbox"/> Yes—type of proceedings</p> <p>Commencement date <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/></p> <p style="text-align: center; font-size: small;">D D M M Y Y Y Y</p> <p>Company name</p> <p>Name of trustee/administrator/liquidator/receiver/manager</p> <p>.....</p> <p>Phone</p> <p>Details of circumstances leading to proceedings (including any pending arrangements) and a copy of the external administrators report where available is to be supplied.</p> <p>.....</p> <p>.....</p> <p>.....</p> |

Signature (authorised officer).....

| | |
|---|---|
| <p>Section 15 Current company director/officer</p> | <p>Are you currently a director/officer of a company that:</p> <ul style="list-style-type: none"> • is in liquidation/receivership/scheme of arrangement/under other formal insolvency administration • has an agent for the mortgagee appointed • is having its affairs investigated under the authorisation of ASIC, its predecessors or equivalent overseas body? <p><input type="checkbox"/> No—go to Section 16</p> <p><input type="checkbox"/> Yes—type of proceedings</p> <p>Commencement date <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/></p> <p>Company name.....</p> <p>Name of trustee/administrator/liquidator/receiver/manager</p> <p>.....</p> <p>Phone</p> <p>Details of circumstances leading to proceedings (including any pending arrangements) and a copy of the external administrators report where available is to be supplied.</p> <p>.....</p> <p>.....</p> |
| <p>Section 16 Corporations law investigation</p> | <p>Have you ever been investigated by the regulator of corporations law in Australia or overseas (in Australia this is currently ASIC)?</p> <p><input type="checkbox"/> No—go to Section 17</p> <p><input type="checkbox"/> Yes—provide details</p> <p>.....</p> <p>.....</p> |
| <p>Section 17 Disqualification</p> | <p>Have you ever been disqualified from acting as a director of a company under any of the provisions of current or previous Australian Corporation and Securities Legislation or overseas equivalents?</p> <p><input type="checkbox"/> No—go to Section 18</p> <p><input type="checkbox"/> Yes—disqualification date <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/></p> <p>Reason for disqualification (include details of any pending arrangements)</p> <p>.....</p> <p>.....</p> |
| <p>Section 18 Garnishment details</p> | <p>Has your salary/wage/earnings/other income ever been subject to a garnishee order/attachment/the like?</p> <p><input type="checkbox"/> No—go to Section 19</p> <p><input type="checkbox"/> Yes—provide details</p> <p>.....</p> <p>.....</p> |
| <p>Section 19 Repossession details</p> | <p>Have you ever had an article repossessed by a finance company or the like?</p> <p><input type="checkbox"/> No—go to Section 20</p> <p><input type="checkbox"/> Yes—provide details</p> <p>.....</p> <p>.....</p> |
| <p>Signature (authorised officer).....</p> | |

| | |
|--|--|
| <p>Section 20 Tax lodgements</p> | <p>Are you up-to-date with your tax lodgements?</p> <p><input type="checkbox"/> Yes—go to Section 21</p> <p><input type="checkbox"/> No—provide details</p> <p>.....</p> <p>.....</p> |
| <p>Section 21 Past tax investigation</p> | <p>Have you or any company of which you were a director at the time, been investigated by any taxation authority in any country?</p> <p><input type="checkbox"/> No—go to Section 22</p> <p><input type="checkbox"/> Yes—provide details</p> <p>.....</p> <p>.....</p> |
| <p>Section 22 Current tax investigation</p> | <p>Are you, or any company of which you are a director, being investigated by any taxation authority in any country?</p> <p><input type="checkbox"/> No—go to Section 23</p> <p><input type="checkbox"/> Yes—provide details</p> <p>.....</p> <p>.....</p> |
| <p>Section 23 Trust funds</p> | <p>Are you involved in any family trust or any other form of trust including in the capacity of trustee?</p> <p><input type="checkbox"/> No—go to Section 24</p> <p><input type="checkbox"/> Yes—provide details</p> <p>.....</p> <p>.....</p> |
| <p>Section 24 Shareholder details</p> | <p>Provide details of any company of which you are a substantial shareholder in terms of the <i>Corporations Act 2001</i> (Australian)</p> <p>.....</p> <p>.....</p> <p>Provide details of all corporations (excluding those corporations listed on a stock exchange), the shares in which you or your spouse/de facto have a direct or indirect interest. An indirect interest is considered to exist where:</p> <ul style="list-style-type: none"> • You are a beneficiary of a trust that holds the shares. • The shares are held by another person on your behalf, whether or not they are held in accordance with a formal trust agreement. • The shares are held by an unlisted corporation and you hold shares in that corporation or a related unlisted corporation.* • For any other reason you have an equitable claim to benefits or voting rights attached to the shares. <p>.....</p> <p>.....</p> <p>.....</p> <p>* An unlisted corporation is a corporation that is not listed on a stock exchange. A corporation is related to another corporation where it is a:</p> <ul style="list-style-type: none"> • holding company of the other corporation • subsidiary of the other corporation • subsidiary of a holding company of the other corporation. |
| <p>Signature (authorised officer).....</p> | |

Section 25

Assets statement

All amounts to be shown in Australian dollars (AUD\$)

Note: where assets are held jointly please detail the percentage share relating to yourself only and the associated dollar value. Where a significant portion of marital assets are held in your spouse's name, please include these assets in your statement, indicating that they are not in your name.

Please complete the following schedule in relation to your assets as at / /
 (i.e. date of this statement or whatever date that is convenient in the last 12 months).

| Funds held with financial institutions | | | | | |
|--|--------|-------------|------------------|------------------------------|------------------------------|
| Financial institution | Branch | Country | Account no. | AUD\$ | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| Cash otherwise held (describe where funds are located) | | | | | AUD\$ |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| Debts owing to you by other persons, including family members (include date due) | | | | | AUD\$ |
| | | | | | |
| | | | | | |
| | | | | | |
| Shares (including family company shares)/bonds/debentures/notes/etc. | | | | | |
| Company | Type | Number held | Acquisition year | Total acquisition cost AUD\$ | Estimated market value AUD\$ |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| Superannuation description | | | | Total acquisition cost AUD\$ | Estimated market value AUD\$ |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

continued over page

continued over page

Signature (authorised officer).....

| | | | | |
|---|--|-------------------------|-------------------------------------|-------------------------------------|
| <p>Section 25 cont/...</p> <p>Assets statement</p> <p>All amounts to be shown in Australian dollars (AUD\$)</p> | Real estate location & description (own residence & other properties) | Acquisition year | Total acquisition cost AUD\$ | Estimated market value AUD\$ |
| | | | | |
| | | | | |
| | | | | |
| | Motor vehicles/caravans/boats/furniture/jewellery/antiques/collections/ other assets not yet listed | | Total acquisition cost AUD\$ | Estimated market value AUD\$ |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| List assets held by another person on your behalf, whether in trust or otherwise | | | Total acquisition cost AUD\$ | Estimated market value AUD\$ |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total assets | | | AUD\$ | |

| | | | | | |
|--|--|--|--|---|--|
| <p>Section 26</p> <p>Liabilities statement</p> <p>All amounts to be shown in Australian dollars (AUD\$)</p> <p>Note: describe fully. Indicate secured and unsecured liabilities. Where liabilities are held jointly detail the percentage share relating to yourself only and the associated dollar value. Where a significant portion of marital liabilities are held in your spouse's name, include these liabilities in your statement, indicating that they are not in your name.</p> | Please complete the following schedule in relation to your liabilities as at <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> (i.e. date of this statement must be as at the date statement of assets when recorded in this form). | | | | |
| | Liabilities to financial institutions (including home/investment & personal loans/overdrafts/credit card liabilities) | | | | |
| | Borrower's/account/cardholder's name | | | Amount outstanding AUD\$ | |
| | Financial institution | | | Due date <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | |
| | Branch | | | Monthly repayment AUD\$ | |
| | Loan amount AUD\$ | | | Secured/unsecured | |
| | Borrower's/account/cardholder's name | | | Amount outstanding AUD\$ | |
| | Financial institution | | | Due date <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | |
| | Branch | | | Monthly repayment AUD\$ | |
| | Loan amount AUD\$ | | | Secured/unsecured | |
| | Borrower's/account/cardholder's name | | | Amount outstanding AUD\$ | |
| | Financial institution | | | Due date <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | |
| | Branch | | | Monthly repayment AUD\$ | |
| | Loan amount AUD\$ | | | Secured/unsecured | |

continued over page

continued over page

Signature (authorised officer).....

| | | |
|---|--|---------------------|
| <p>Section 26 cont/...</p> <p>Liabilities statement</p> | <p>Other liabilities (indicate details of creditors)</p> | <p>AUD\$</p> |
| | | |
| | | |
| | | |
| | <p>Total liabilities</p> | <p>AUD\$</p> |
| | <p>(Net worth) total assets less total liabilities</p> | <p>AUD\$</p> |
| | <p>Contingent liabilities (i.e. liabilities of an indefinite nature or unspecified amount for which you may become liable in the future. Please provide details.)</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p> | |
| <p>Personal guarantees (provide details of any personal guarantees that you have provided and which are still current.)</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p> | | |
| <p>Assets provided as security (provide details of any of your personal assets that are currently subject to some form of security or charge. The security need not relate to a personal debt, i.e. the security may have been provided to a creditor of a company with which you are involved.)</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p> | | |
| <p>Do you hold any assets on behalf of any other person, with or without the existence of a formal trust agreement</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes—provide details</p> | | |
| <p>Has there been any material change in your net worth since the date shown above?</p> <p><input type="checkbox"/> No—go to Section 27</p> <p><input type="checkbox"/> Yes—provide details</p> <p>.....</p> <p>.....</p> | | |
| <p>Signature (authorised officer).....</p> | | |

| | | |
|---|---|-------|
| Section 27 Source of funds | 1. Source for year ending <input type="text"/> _Y <input type="text"/> _Y <input type="text"/> _Y <input type="text"/> _Y | AUD\$ |
| | | |
| | | |
| | | |
| | | |
| | Total | |
| | 2. Source for year ending <input type="text"/> _Y <input type="text"/> _Y <input type="text"/> _Y <input type="text"/> _Y | AUD\$ |
| | | |
| | | |
| | | |
| | | |
| | Total | |
| | 3. Source for year ending <input type="text"/> _Y <input type="text"/> _Y <input type="text"/> _Y <input type="text"/> _Y | AUD\$ |
| | | |
| | | |
| | | |
| | | |
| | Total | |
| | 4. Source for year ending <input type="text"/> _Y <input type="text"/> _Y <input type="text"/> _Y <input type="text"/> _Y | AUD\$ |
| | | |
| | | |
| | | |
| | | |
| Total | | |
| 5. Source for year ending <input type="text"/> _Y <input type="text"/> _Y <input type="text"/> _Y <input type="text"/> _Y | AUD\$ | |
| | | |
| | | |
| | | |
| | | |
| Total | | |

Signature (authorised officer).....

Section 28

**Influences/
conflict or interest/
disqualified
persons**

OLGR must be advised of any matter which could be seen as having an undue influence on the outcome of a probity investigation or an application for a licence. Disclosures must also be made of persons with a potential conflict of interest.

Are you a spouse or child of a:

- Member of the Legislative Assembly (Queensland)
- staff member of a Member of the Legislative Assembly (Queensland)
- councillor of a local government in Queensland?

No

Yes—provide details

.....
.....

Have you or any associates, or employees or representatives been involved in any lobbying, meetings, discussions or negotiations with any government official in regard to this probity investigation or, if applicable, this or any other application for a gaming licence?

No—go to **Section 29**

Yes—provide details of the official’s name, persons name acting on behalf of the company and the date and nature of any lobbying, meetings, discussion or negotiations held

.....
.....

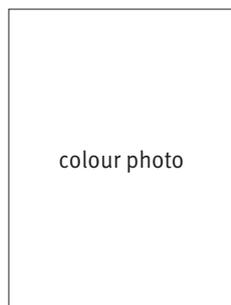
Signature (authorised officer).....

Section 29
Signed certificate

I,
(name of person)

hereby declare that I:

- am the person identified in this document
- have personally completed this form or have supplied all the information indicated herein and have confirmed its accuracy in the completed form
- certify that the particulars contained herein and all matters accompanying this form are true and correct in every detail and fully disclose the information required to complete this form
- am the person in the photograph attached below (which is less than six months old)
- agree if requested and obliged by legislation to have my fingerprints taken and checked for the purpose of probity
- agree, if requested, to provide income tax returns or income tax assessments (further to those already requested in this form)
- agree, if requested, to provide further documentation as evidence of the accuracy of responses given in this form.



Date photograph taken / /

Photograph attached and this certificate signed at

Date / /

Signature

Witness name

Witness address

I confirm that I have witnessed the signing of the above certificate and that the above attached photograph is a photograph of:

Name of person

Address of person

Date / /

Witness signature

Signature (authorised officer).....

Section 30

**Authority for
release of
information**

I,
(name of person)

of
(residential address)

grant an authority on the following terms:

1. I acknowledge that the *Liquor Act 1992* ('the Act') authorises the Minister responsible for the Act to cause to be made investigations which relate to me for the purposes of determining my suitability for the purposes of the Act
2. I authorise any person conducting any investigations or enquiries on behalf of the responsible minister, for the purposes of the Act, including any OLGR officer (*Authorised Person*), to obtain any information and make any investigations or enquiries which relate to me and may be relevant to any of the purposes of the Act in any jurisdiction
3. I authorise an officer of the Australian Taxation Office (ATO) or the manager or other principal officer of any branch or office of a bank or financial institution in any jurisdiction to whom a copy of this authority is presented to allow any Authorised Person to inspect and obtain copies of, or to release to any Authorised Person, any record, document or other information of any kind in written, electronic or any other form, which relates to me and is held by the ATO, bank or financial institution
4. I authorise any officer of any police service, law enforcement agency or regulatory body in any jurisdiction to whom a copy of this authority is presented to release to any Authorised Person any information or official record of any kind in written, electronic or any other form which relates to me and is held by the police service, agency or body, including any information relating to my personal and criminal history
5. I will at all times sufficiently indemnify those officers referred to in 3 and 4 above and the Authorised Person and keep such officers and the Authorised Person indemnified against all liability in respect of the supply or disclosure in accordance with the Act or as otherwise required by law, of the information, and against all actions, suits, proceedings, claims, demands, costs and expenses whatsoever which may be taken against such officers and the Authorised Person or incurred or become payable by such officers or the Authorised Person in respect thereof.

Signature.....

Date / /
D D / M M / Y Y Y Y

Witness signature.....

Witness name.....

Witness address

Suburb State Postcode

Signature (authorised officer).....

| | |
|---|---|
| <p>Section 31 Checklist</p> <p>The following documents must be provided</p> | <p>Complete the following checklist. If the checklist is incomplete you must advise when the outstanding matters will be submitted and expect delays in the processing of this form.</p> <p>I hereby certify I have:</p> <ul style="list-style-type: none"> <input type="checkbox"/> read the directions for completion (Part A) <input type="checkbox"/> signed each page of the form <input type="checkbox"/> answered all questions in writing <input type="checkbox"/> answered all questions completely <input type="checkbox"/> completed and signed Sections 29–30 of this form. <p>I have attached the following:</p> <ul style="list-style-type: none"> <input type="checkbox"/> proof of identity <input type="checkbox"/> copies current passports <input type="checkbox"/> copy of certificate of citizenship/naturalisation (if applicable) <input type="checkbox"/> copy of last tax return and assessment notice <input type="checkbox"/> copies of tertiary qualifications (if applicable) <input type="checkbox"/> copy of armed forces discharge papers (if applicable) <input type="checkbox"/> copy of evidence of membership of any professional body <input type="checkbox"/> police report or clearance (if applicable) <input type="checkbox"/> summary of work and business history <input type="checkbox"/> three references <input type="checkbox"/> copies of trust financial statements (if applicable). <p>Name of person</p> <p>Signature of person.....</p> |
| <p>Section 32 Lodgement of form and supporting material</p> | <p>Seal this form and attachments in an envelope marked:</p> <p>Private and confidential Attn: Executive Director Office of Liquor and Gaming Regulation</p> <p>By mail: Office of Liquor and Gaming Regulation Locked Bag 180 CITY EAST QLD 4002</p> <p>In person: Office of Liquor and Gaming Regulation Upper Plaza, 33 Charlotte Street BRISBANE QLD 4000</p> |
| <p>Signature (authorised officer).....</p> | |

0519LG - 2014

OLGR is collecting your personal information in order to assess your suitability in accordance with the requirements under the *Liquor Act 1992 s. 173EQ*. OLGR may disclose your personal information to the prescribed entities listed in the schedules of the acts administered by OLGR or as otherwise required by law.