

FORM 1A - CHECKLIST FOR NEW TRUST ACCOUNT

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| 1. | Has the public accountant notified the supervising entity of his/her intention to establish a trust account? | YES / NO |
| 2. | Has a trust bank account been established at a financial institution? | YES / NO |
| 3. | Does the financial institution require approval from the supervising entity prior to the establishment of a trust bank account? | YES / NO |
| 4. | Has a Form 1 - Notification under section 5 (1) and 5 (1A) been fully completed? | YES / NO |
| 5. | Has an auditor been appointed to the trust account? | YES / NO |
| 6. | Has the auditor been requested to endorse the appointment by notifying the supervising entity? | YES / NO |
| 7. | If the trust account has been operating for more than 12 months prior to notifying the supervising entity, has an audit report also been lodged for the previous financial year? | YES / NO |

The Department of Justice and Attorney-General (DJAG) collects your personal information pursuant to the requirements of section 5 of the *Trust Accounts Act 1973*. The information you provide is used in the regulation of the Act and to communicate with you about matters concerning your trust account. DJAG does not use or disclose your personal information for any other purpose unless it is otherwise authorised or required by law. DJAG manages your personal information consistent with its obligations under the *Information Privacy Act 2009*.